1	Updated analyses of temperature and precipitation extreme indices since the beginning
2	of the twentieth century: The HadEX2 dataset
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36	(revised manuscript for re-submission to J. Geophysical Research, Atmospheres)
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53 Abstract

54 In this study we present the collation and analysis of the gridded land-based dataset of indices 55 of temperature and precipitation extremes: HadEX2. Indices were calculated based on station 56 data using a consistent approach recommended by the WMO Expert Team on Climate 57 Change Detection and Indices, resulting in the production of 17 temperature and 12 58 precipitation indices derived from daily maximum and minimum temperature and 59 precipitation observations. High quality in situ observations from over 6000 temperature and 60 11000 precipitation meteorological stations across the globe were obtained to calculate the 61 indices over the period of record available for each station. Monthly and annual indices were then interpolated onto a 3.75° x 2.5° longitude-latitude grid over the period 1901–2010. 62 Linear trends in the gridded fields were computed and tested for statistical significance. 63 Overall there was very good agreement with the previous HadEX dataset during the 64 65 overlapping data period. Results showed widespread significant changes in temperature 66 extremes consistent with warming, especially for those indices derived from daily minimum 67 temperature over the whole 110 years of record but with stronger trends in more recent decades. Seasonal results showed significant warming in all seasons but more so in the colder 68 69 months. Precipitation indices also showed widespread and significant trends, but the changes 70 were much more spatially heterogeneous compared with temperature changes. However, 71 results indicated more areas with significant increasing trends in extreme precipitation amounts, intensity and frequency than areas with decreasing trends. 72

74 **1. Introduction**

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76 The research into climate extremes has progressed enormously over the last few decades [Nicholls and Alexander, 2007; Zwiers et al., 2012]. This has been largely due to international 77 78 coordinated efforts to collate, quality control and analyze variables and events that represent 79 the more extreme aspects of climate. One such effort has been led by the ETCCDI¹ (http://www.clivar.org/organization/etccdi) who have facilitated the calculation of climate 80 81 extremes indices based on daily temperature and precipitation data. This has been made 82 possible through the provision of free standardized software for data analysis and quality control, and through the organization of regional workshops to fill in data gaps in data sparse 83 84 regions [Peterson and Manton, 2008]. Unfortunately, the availability of daily observational 85 high-quality data is limited for many regions of the globe. This is due to several reasons including a lack of suitable data but also because many countries have strict polices about 86 87 data sharing. However, often National Meteorological Services are more willing to share derived indices i.e. annual and/or monthly values derived from daily data that represent the 88 number of days above or below a temperature or precipitation threshold for example. This 89 90 helps to gain information about climate extremes from regions where daily data are not 91 readily available to the scientific community. Thus, the development of the ETCCDI climate 92 indices has enabled regional and global (both station and gridded) datasets to be developed 93 [Zhang et al., 2011] in a comparable way. One such global gridded dataset, HadEX, was 94 developed by Alexander et al., 2006 (henceforth A2006). HadEX contains the 27 indices 95 recommended by the ETCCDI (see Zhang et al.. 2011 and

¹ Joint World Meteorological Organization (WMO) Commission for Climatology (CCl)/World Climate Research Programme (WCRP) project on Climate Variability and Predictability (CLIVAR)/Joint WMO-Intergovernmental Oceanographic Commission of the United Nations Educational, Scientific and Cultural Organization (UNESCO) Technical Commission for Oceanography and Marine Meteorology (JCOMM) Expert Team on Climate Change Detection and Indices.

http://cccma.seos.uvic.ca/ETCCDI/list_27_indices.shtml) on a 3.75° x 2.5° longitude-latitude
grid from 1951 to 2003. In general one index value was computed per gridbox per year,
although for some of the indices (e.g. hottest day/night, wettest day) seasonal values were
also made available.

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HadEX currently represents the most comprehensive global gridded dataset of temperature and precipitation extremes based on daily in situ data available. It has been used in many model evaluation (e.g. *Sillmann and Roekner*, 2008; *Alexander and Arblaster*; 2009 *Rusticucci et al.*, 2010; *Sillmann et al.*, 2012) and detection and attribution studies (e.g. *Min et al.*, 2011; *Morak et al.*, 2011), in addition to climate variability and trend studies (e.g. A2006). Nonetheless, it covers a relatively short period (53 years) and contains numerous data gaps both in space and time, and this is particularly the case for the precipitation indices.

The purpose of the current study is to update HadEX to develop the HadEX2 dataset, and to document and assess this new dataset. This new version of the dataset contains many more input station data than the earlier version of the dataset and covers a much longer period, 1901 to 2010. In the next sections we describe the data and indices used as input to HadEX2, the gridding method used to develop grids of the different extremes indices and the analysis of this dataset over global land areas.

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116 **2. Data and Indices**

117

All of the climate indices are calculated from daily observations of precipitation, maximum temperature, and minimum temperature. The indices calculated for HadEX2 are shown in Table 1. These mostly represent the indices recommended by the ETCCDI (see

http://cccma.seos.uvic.ca/ETCCDI/indices.shtml), although one of the recommended 27 121 122 indices is user-defined (Rnnmm: annual count of precipitation above a user-chosen threshold) 123 and is therefore excluded and three additional indices are included: Extreme Temperature 124 Range (ETR), contribution from very wet days (R95pTOT), and contribution from extremely wet days (R99pTOT) as these were also included in HadEX due to their potential to have 125 126 significant societal impacts. A total of 29 indices are therefore calculated. The original station 127 network used in HadEX contained 2223 temperature and 5948 precipitation stations (see Fig. 128 1 of A2006). The total number of stations available for HadEX2 is generally about twice that 129 available for HadEX (see Table 1), including improved spatial coverage of stations in 130 southern Africa, South America, south-east Asia and Australasia. The (monthly) index values 131 were only calculated if less than 3 daily observations were missing in a month, and 132 accordingly less than 15 daily observations per year for the annual indices. If more daily 133 observations were missing, the climate index was set to a missing value for that specific 134 month or year. The annual index values were also set to missing if one of the months was 135 assigned a missing value.

136

137 The spatial coverage of stations varies among indices, and there are many more stations 138 containing precipitation than temperature data. It is generally necessary to have a larger 139 number of representative precipitation stations since the spatial variability of precipitation 140 extremes is much higher than for temperature extremes [Kiktev et al., 2003; A2006]. Fig. 1a 141 and 1d show the spatial coverage of stations for an example temperature (TXx) and precipitation (Rx1day) index. The color coding in the maps in Fig. 1 indicates the data 142 143 source. The largest number of stations was obtained from international data initiatives 144 including:

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1. The European Climate Assessment and Dataset (ECA&D; Klok and Klein Tank,

146 2009), containing approximately 6600 stations from 62 countries across Europe and147 North Africa

- 148
 2. The Southeast Asian Climate Assessment and Dataset (SACAD) as ECA&D but
 149
 149 currently containing more than 1000 stations from 11 countries across south-east Asia
 150 (we removed the Australian stations from this data set as a separate data set of high151 quality stations was used for Australia, see Table 2)
- 152 3. The Latin American Climate Assessment and Dataset (LACAD) as ECA&D but
 153 currently containing about 300 stations from 7 countries across Latin America
- 154 4. The Global Historical Climatology Network-Daily (GHCN-Daily; Menne et al., 155 2012). Comprising approximately 27,000 stations globally with daily maximum and 156 minimum temperature and over 80,000 stations with daily precipitation amounts, 157 GHCN-Daily however is only used in this study for a subset of stations in the USA. 158 Although subjected to a comprehensive set of quality assurance procedures (Durre et 159 al., 2010), GHCN-Daily data are not adjusted for artificial discontinuities such as 160 those associated with changes in observation time, instrumentation, and station location. To circumvent this, the subset chosen for the USA followed the analysis by 161 162 Peterson et al., [2008] who only selected National Weather Service Cooperative and First-Order weather observing sites with reasonably long records. Data were used 163 164 only from station time series that were determined (e.g., by the statistical analysis 165 described in Menne and Williams, 2005) to be free of significant discontinuities after 166 1950 caused by changes in station location, changes in time of observation, etc.

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Other stations used in this study have been supplied by the authors either through their personal research or from the National Meteorological Service in that country. For all regions, at least one of the authors had access to the daily data from which the indices were calculated. Therefore reference could always be made to the original data should quality
issues arise during the analysis (see Table 2). Additional stations were obtained through
ETCCDI regional workshops; although in a small number of cases the raw data were not
available and only the derived indices were provided.

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176 While the level of quality control varies from country to country, in most cases the data have 177 been carefully assessed for quality and homogeneity by researchers in the country of origin. 178 For example, Canada supplied homogenized daily temperatures up to 2010 for 338 stations 179 [Vincent et al., 2012] and a high-quality adjusted precipitation data set for 464 stations 180 [Mekis and Vincent, 2011]. Australian temperature records were updated from those used in 181 HadEX, adjusting for inhomogeneities at the daily timescale by taking account of the 182 magnitude of discontinuities for different parts of the distribution, increasing the number of 183 stations available to 112 and extending the record back in time to 1910 (Trewin, 2012). 184 Indian data have only been used from India Meteorological Department (IMD) observatory 185 stations where exposure conditions have remained the same and meteorological instruments are maintained as per WMO guidelines. In Argentina and Uruguay stations with known 186 187 inhomogeneities or long periods without data were excluded from the index calculation. In 188 the case of the ETCCDI workshop data, extensive post-processing and analysis was 189 performed [e.g. Aguilar et al., 2009; Caesar et al., 2011; Vincent et al., 2011] to ensure data 190 quality and homogeneity. Note therefore that because of the updates to high quality station 191 availability for many regions, HadEX2 provides not just an extension of stations used in 192 HadEX but rather represents the latest acquisition of high quality station data around the 193 globe.

194

195 Table 2 indicates the sources of all the data used in this study and relevant references where

196 applicable. However since the spatial coverage deteriorated in some cases between HadEX 197 and HadEX2, particularly for Africa and parts of South and Central America, the station 198 coverage was supplemented using existing stations from HadEX where there were no stations 199 in HadEX2 within a 200km radius of a HadEX station. This provided about an additional 200 200 stations for temperature indices and 280 stations for precipitation indices. While the addition 201 of HadEX stations offers some improvement in coverage, data included in HadEX2 are still 202 sparse at the beginning and end of the record in addition to some stations only having short 203 records. Particularly in the most recent years since 2006 there is a decrease in the number of 204 available observational data, which also leads to a strong decline in spatial coverage of 205 HadEX2 during the last five years (Fig. 2). Data for both temperature and precipitation prior 206 to 1950 are mostly confined to Eurasia, North America, Southern South America, Australasia 207 and India (precipitation only).

208

209 calculation То ensure consistency in the of indices between regions, the 210 RClimDex/FClimDex software packages were used (see Zhang et al., 2011 and 211 http://cccma.seos.uvic.ca/ETCCDI/software.shtml). Percentiles required for some of the 212 temperature indices (Table 1) were calculated for the climatological base period 1961-1990 213 using a bootstrapping method proposed by *Zhang et al.*, [2005]. The bootstrapping approach 214 is intended to eliminate possible inhomogeneities at the boundaries of the climatological base 215 period due to sampling error. The percentiles are only calculated if at least 75 per cent of non-216 missing daily temperatures are available during the base period. In addition, problems with 217 data precision have arisen in some countries such as rounding to whole degree in recording, 218 and this can also affect trend estimates for some indices [Zhang et al., 2009]. This has been 219 accounted for by adding a small random number to improve the granularity of data and thus 220 making the estimation of threshold more accurate [Zhang et al., 2009; Zhang et al., 2011].

222 Note, however, that the data for ECA&D, SACAD and LACAD were processed slightly 223 differently. These groups calculate many more indices than those recommended by ETCCDI 224 but the output from these datasets is processed in such a way as to be comparable with the output from RClimDex/FClimDex for the ETCCDI indices. One exception is the calculation 225 226 of very wet days (R95p) and extremely wet days (R99p). While these indices commonly refer 227 to the precipitation amount above the respective percentile value, ECA&D, SACAD and 228 LACAD instead counted the number of days when the percentile is exceeded. For this 229 analysis, we therefore recalculated their data for these two indices from the calculated values 230 of R95pTOT and PRCPTOT (i.e., R95pTOT*PRCPTOT/100), so that they matched the index 231 definition proposed by the ETCCDI, and in turn providing a consistent analysis approach for 232 all regions. During this process we discovered some inconsistencies in a handful of the 233 SACAD stations which affected the calculation of indices that required a climatological 234 percentile to be calculated e.g. in some instances the annual value for R99p was the same as 235 PRCPTOT. This resulted in the removal of five stations in Malaysia and three stations in 236 Indonesia.

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238 **3. Gridding method**

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Our gridding method closely follows that of HadEX (see Appendix A of A2006 for details of the gridding procedure) with only some very minor differences. Climate indices are calculated for each station and then interpolated onto a regular grid, using a modified version of Shepard's angular distance weighting (ADW) interpolation algorithm [*Shepard*, 1968]. The ADW gridding algorithm has been used by a number of studies for gridding similar data sets of climate extremes [*Kiktev et al.*, 2003; A2006], daily temperatures [*Caesar et al.*, 2006] or 246 monthly climate variables [*New et al.*, 2000] and has generally been shown to be a good 247 method when gridding irregularly-spaced data. Gridding the observations helps to solve 248 several issues, including uneven station distribution when calculating global averages [*Frich* 249 *et al.*, 2002], and to minimize the impact of data quality issues at individual stations due to 250 averaging.

251

252 The ADW interpolation method requires knowledge of the spatial correlation structure of the 253 station data. We assume that station pairings greater than 2000km apart or stations with short 254 overlapping data will not provide meaningful correlation information. Therefore, correlations 255 between all station pairs within a 2000 km radius are calculated if there are overlapping data 256 for at least a 30-year period. Correlations are performed on all available data after 1951, the 257 period when most of the stations used in this study have good temporal coverage. However, 258 the correlation results are almost identical even if the period is extended back to 1901 (where 259 suitable station pairings are available). In order that we can compare HadEX2 results with 260 those from HadEX, the method of A2006 is followed such that the inter-station correlations 261 are then averaged into 100km bins and a second-order polynomial function is fitted to the 262 resulting data assuming that at zero distance the correlation function is equal to one. The 263 decorrelation length scale (DLS) is defined as the distance at which the correlation function 264 falls below 1/exp(1) and represents the maximum 'search radius' in which station data are 265 considered for the calculation of grid point values. In addition the polynomial function is 266 tested to determine whether it is a good fit to the data at the 5% significance level using a chisquare statistic (for an example of this type of function see Fig. A1 of A2006). If the function 267 268 is found not to be a good fit, then the decorrelation length scale is set to 200km, the minimum value set for search radius distance. This differs slightly from HadEX where the minimum 269 270 DLS was set to 100km, but it was decided for HadEX2 that this minimum value should be

more reflective of the size of the grid boxes that were being used. However, for most indices
and latitude bands, the DLS was found to be greater than 200km. Only for the annual
Rx1day, R99p and CWD indices is the minimum DLS calculated at a number of latitudes
(e.g. Fig. 1d).

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276 Decorrelation length scale values are calculated for each index separately. As in HadEX, DLS values are calculated independently for four non-overlapping 30°-latitude zonal bands 277 278 between 90°N and 30°S, plus a 60° band spanning the data-sparse 30 to 90°S latitudes (the 279 reasons for this are described in Appendix A of A2006). For indices with monthly output, the 280 DLS is calculated for both the monthly and annual index values. Linear interpolation is used 281 to smooth the DLS values between bands and avoid discontinuities at the band boundaries. For comparison with HadEX, we chose the same $3.75^{\circ} \times 2.5^{\circ}$ longitude-latitude grid, 282 resulting in a separate DLS value for each 2.5° latitude band. Examples of the DLS values 283 284 are given in Figs. 1b,d. The inter-station correlations, and thus the DLS are, unsurprisingly, 285 generally larger for the temperature-based indices than for the precipitation extremes and for 286 monthly rather than annual values.

287

288 Grid box values are calculated based on all station data within the DLS and weighted 289 according to their distance from the grid box center using a modified version of Shepard's 290 ADW interpolation algorithm (see equation A2 of Appendix A in A2006). A minimum of 3 291 stations is required to be within the DLS before a grid box value can be calculated; otherwise 292 a missing data value is assigned. The weight decays exponentially with increasing distance, 293 but additional information relating to the angle of the locations of the stations to each grid 294 box centre is also included to account for how bunched or isolated the stations are within the 295 search radius. An additional parameter adjusts the steepness of the decay [A2006; Caesar et *al.*, 2006]. Again for consistency with HadEX, we set this parameter equal to 4, as this was
found to provide a reasonable compromise between reducing the root mean squared error
(RMSE) between gridded and station data and spatial smoothing. However, for global,
continental and even regional averages, the results are almost identical when using values
between 1 and 10 for this parameter.

301

Besides updating HadEX for the most recent years, we also extended the gridded product, 302 although with limited coverage, back to the first half of the 20th century, calculating grids 303 304 over the period 1901 to 2010. In the next section we present trends for two periods: 1951-305 2010 and 1901-2010. Trends are calculated for each gridbox assuming that index values for 306 the grid box are available for at least 66% of the years (i.e., 40 years out of 1951-2010 and 73 307 years out of the 1901-2010 period), and that data are available through at least 2003. In order 308 to avoid the spurious influence of varying spatial coverage, global timeseries of area-309 weighted averages are calculated using only gridboxes that have at least 90% of data during 310 the periods presented (i.e., 54 years out of the 1951-2003 period and 99 years out of the 1901-311 2010 period). Note that, owing to limited spatial coverage, the "global timeseries" are not representative for the entire globe, and rather should be interpreted as "area-averages of all 312 313 sufficiently covered regions". Particularly for the 110-year period 1901-2010, the 90% 314 completeness criterion restricts the grid boxes contributing to the "global timeseries" to grid 315 boxes from North America, Eurasia, Australia, parts of southern South America and India 316 (precipitation-only). The trends presented here (Fig. 3 to Fig. 9) are calculated using Sen's trend estimator [Sen, 1968] and trend significance is estimated at the 5 % level using the 317 318 Mann-Kendall test [Kendall, 1975]. This method was chosen because it makes no 319 assumptions about the distribution of the variable, and some of the climate indices do not 320 follow a Gaussian distribution. Note that while linear trends are widely used and an easily

321 understandable measure for documenting changes in climate indices, they are not necessarily 322 the best fit to the observed changes presented here. Therefore we supplement our global 323 timeseries plots by also showing 21-year smoothed functions to represent some of the decadal 324 variations that have been observed since the beginning of the 20th century.

325

326 **4. Results**

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Trends (shown as maps) are presented using data for each index for 110 years since 1901 and for 60 years since 1951, when spatial coverage is more complete and other observational data sets begin [e.g. A2006; *Caesar et al.*, 2006; *Donat et al.*, 2012a]. Hatching in Figures 3-9 indicates regions where trends are significant at the 5% level. Global average time series are presented for the whole 1901-2010 period, and also for the 1951-2003 period for comparison with HadEX.

334

While trend maps can obviously highlight regional detail, the focus of this paper is to assess broad scale changes in extremes. We therefore mostly limit our discussion of results to an assessment of global change, acknowledging that regional studies can provide much more indepth analysis, although we do draw attention to interesting or unusual regional detail.

339

340 **4.1 Trends in annual temperature indices**

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All temperature-related indices show significant and widespread warming trends, which are
generally stronger for indices calculated from daily minimum (night-time) temperature than
for those calculated from daily maximum (daytime) temperature.

346 For example, the frequency of cool nights based on daily minimum temperatures is shown to 347 have significantly decreased almost everywhere during the past 60 years (Fig. 3a). The 348 strongest reductions, up to 10 days per decade since 1951 are found over eastern Asia, 349 northern Africa and in some regions of South America (the average annual frequency during the 1961-1990 base period is by definition 36.5 days). Globally averaged, the frequency of 350 351 cool nights has decreased by about 50 % (18 days) between the 1950s and the first decade of 352 the 21st century. Correspondingly, at the upper tail of the minimum temperature distribution, 353 we find a significant increase in the frequency of warm nights in almost all regions (Fig. 3c). 354 Globally averaged the frequency of warm nights has increased by about 55 % (20 days in a 355 year) during the past 60 years. 97 % of the grid boxes with valid data show significant 356 $(p \le 0.05)$ increases in TN90p and decreases in TN10p, respectively (Table 3).

357

Analyzing day-time temperature extremes, we see a reduction in the number of cool days and 358 359 an increased frequency of warm days (Fig. 3b,d). The changes in cool and warm days appear 360 to be somewhat smaller compared to the cool and warm night frequency changes. The trends 361 are also spatially less homogeneous in sign, as slight cooling trends are found over eastern North America (the so-called "warming hole", Portmann et al., 2009) and along the South-362 363 American west coast areas (in particular the northern part of Chile). Still, in most regions and 364 in the global average there are significant warming trends resulting in less frequent cool and 365 more frequent warm days. In addition, 77 (84)% of the global land area covered by HadEX2 366 shows a significant increase in warm days (decrease in cool days) (see Table 3).

367

Mostly warming trends are also apparent in the absolute warmest and coldest temperatures of the year. The warming is generally stronger for the coldest than for the warmest value. Since the middle of the 20th century, the coldest night (TNn) and coldest day (TXn) of the year, for example, have significantly increased over much of Asia, North America, Australia, and southern South America (Fig. 4a,b). Warming trends are particularly strong (up to 1°C per decade) over large parts of Asia. 70 % (52 %) of the grid boxes with sufficient data coverage show significant increases in TNn (TXn) during the 1951 to 2010 period, whereas significant decreases are only found in 3 % (4 %) of the grid boxes (Table 3). Globally averaged, the temperature related to the coldest night of the year (TNn) has increased by about 3°C in the past 60 years.

378

379 Warming (but mostly weaker) trends, are also found for temperatures related to the warmest 380 night (TNx) and the warmest day (TXx) over much of Europe, Asia and northeastern North 381 America, whereas a significant decrease in TXx is found over the eastern US and in South 382 America over parts of Argentina and Uruguay (Fig. 4c,d). On average globally, both TNx 383 and TXx have increased by about 1°C since the 1950s, however for TXx similarly high 384 values as today seem to have also occurred in the 1930s. Particularly high annual maximum 385 temperatures (TXx) occurred e.g. over North America in the 1930s. 64 % (32 %) of grid boxes show significant increases in TNx (TXx), as opposed to 3 % (6 %) with significant 386 387 decreases. Over most regions, the increases in TNn are stronger than increases in TXx. 388 Consequently the extreme temperature range (ETR) is reduced, in particular over North 389 America, Asia and South America, and also on the global average (not shown).

390

Associated with the widespread warming trends, there is also a tendency towards shorter cold spell duration (Fig. 5a) and, conversely, longer warm spell duration (Fig. 5b) in most areas. These changes are significant for both indices over most of Eurasia. India stands out as having much stronger increasing trends in WSDI than most other regions. Maximum temperatures in India have increased by about 1.1°C since the beginning of the 20th century 396 with particularly large positive anomalies in the last couple of decades for both maximum and 397 minimum temperatures [IMD, 2012]. Owing to the stipulation of the 1961-1990 base period, 398 the region has experienced an excess of heatwave days since the mid-1990s by this definition 399 (also see e.g. Met Office, 2011) and this has inflated the trend in WSDI (see also discussion 400 section). Globally averaged, WSDI has increased by approximately eight days since the middle of the 20th century, however most of this increase has occurred since 1980. 401 402 Conversely, the duration of cold spells has significantly decreased over large areas, by about 403 four days since 1950 when considering the global average.

404

On centennial time scales, since the beginning of the 20th century, warming trends show 405 406 mostly similar patterns to the trends estimated since the middle of last century. However, the 407 trends are more pronounced over the 1951-2010 period when compared to the 1901-2010 408 period, particularly for the frequency of warm/cold days/nights (Fig. 3). Also on the longer 409 time scale we find significant warming in the percentile-based indices over most parts of the 410 world with data coverage, except for daytime temperatures over the eastern US and southern 411 South America. Changes in the absolute values are less spatially coherent; however regions 412 with significant changes have the same sign of trend in both periods.

413

414 **4.2 Trends in seasonal temperature indices**

415

The warming trends related to the annual frequencies of warm/cool days/nights (Fig. 3) can in general also be found throughout all seasons, however with differing magnitude and significance. The seasonal results presented here were calculated as seasonal averages of the monthly gridded fields. The frequency of warm days (Fig. 6), for example, shows a tendency towards stronger and more extended warming during winter (i.e., DJF on northern 421 hemisphere and JJA on southern hemisphere) and the transition seasons than in summer, 422 particularly higher latitudes. For the two regions where local cooling trends were observed 423 (compare Fig. 3d), seasonal analysis shows that this cooling is most significant during the 424 summer months, i.e. June-August for the "warming hole" in North America and December-425 February over South America, respectively.

426

427 The frequency of cool nights also decreases consistently throughout all seasons (Fig. 7).
428 Particularly over Asia, this warming seems to be somewhat stronger during the cold months
429 than during summer. On the contrary, Europe and South America show stronger warming
430 during their respective summer months than in winter.

431

432 **4.3 Trends in annual precipitation indices**

433

Although based on a larger number of stations (see Table 1), the gridded fields of the
precipitation indices exhibit a less widespread spatial coverage than the temperature indices.
This is due to the lower correlation of the precipitation measures between neighboring
stations (see Gridding method section and Fig. 1b,d).

438

The patterns of recent changes in precipitation indices appear spatially more heterogeneous than the consistent warming pattern seen in the temperature indices. Most of the precipitation indices show (partly significant) changes towards wetter conditions over the eastern half of North America as well as over large parts of Eastern Europe, Asia and South America. Areas with trends towards less frequent and intense precipitation are observed e.g. around the Mediterranean, in South-east Asia and the north-western part of North America. Such changes in extreme precipitation are found, for example, for the number of heavy 446 precipitation days (R10mm, Fig. 8a) and the contribution from very wet days (R95pTOT, Fig. 447 8b). Globally averaged, both indices display upward trends during the past 60 years. Similar 448 patterns of change are also found for the average intensity of daily precipitation (Fig. 8d). All 449 precipitation-based indices show larger areas with significant trends towards wetter 450 conditions than areas with drying trends (Table 3).

451

The number of consecutive dry days (CDD, Fig. 8c), a measure for extremely dry conditions, also shows trends towards wetter conditions (i.e., fewer CDD) over larger parts of North America, Europe and Southern Asia, whereas non-significant trends towards dryer conditions are found over East Asia, eastern Australia, South Africa and portions of South America where sufficient data are available for trend calculations. Globally no clear trend can be identified.

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As for the temperature indices, trends in the precipitation indices over the whole 1901-2010
period are largely similar in pattern to the trends since 1951 (where data are available),
however they are usually smaller in magnitude.

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463 **4.4 Trends in seasonal precipitation indices**

464

Only two of the precipitation indices, Rx1day and Rx5day, have data available for sub-annual timescales (see Table 1). We calculated the seasonal values of both indices as the seasonal maxima of the monthly gridded fields. Seasonal trends are generally comparable with annual trends (not shown). The annual maximum consecutive 5-day precipitations amount, for example, displays significant tendencies towards stronger extreme precipitation over eastern North America and large parts of Europe and Asia comparable with results shown in Fig. 8.

In these areas, the increase in extreme precipitation is visible across all seasons (Fig. 9), but tends to be more significant during winter and autumn (DJF and SON in the Northern Hemisphere). Some tropical regions in South America and South-east Asia also display a strong increase in extreme precipitation between 1951 and 2010 across the seasons, particularly during December to May. However, as spatial coverage is limited for tropical regions, a detailed investigation of this was not possible.

477

478 **5. Discussion**

479

480 Our results support previous studies, including A2006, that have found a shift in the 481 distribution of both maximum and minimum temperatures extremes consistent with warming, and that globally averaged minimum temperature extremes are warming faster than 482 483 maximum temperature extremes. Recent studies have shown how the distributions of both 484 daily and seasonal temperatures have significantly shifted towards higher temperature values since the middle of the 20th century [Hansen et al., 2012; Donat and Alexander, 2012]. This 485 486 includes changes in the higher statistical moments of the distributions, having serious 487 implications for climate impacts.

488

The driving mechanisms related to the reported changes may vary between regions and time scales, but large scale natural variability plays a role [e.g. *Haylock et al.*, 2006; *Barrucand et al.*, 2008; *Scaife et al.*, 2008; *Alexander et al.*, 2009; *Caesar et al.*, 2011; *Renom et al.*, 2011], as do changes in anthropogenic greenhouse gases [e.g. *Kiktev et al.*, 2003; *Alexander and Arblaster*, 2009; *Min et al.*, 2011] and land-use and land cover change [e.g. *Avila et al.*, 2011].

496 This study also indicates that on the whole the globally averaged trends in HadEX2 497 temperature and precipitation indices compare very well with the trends in HadEX over the 498 period when both datasets overlap and particularly when both datasets are masked with the 499 same gridboxes and even though largely different input data have been used. Some minor 500 differences in the time series of the global averages (mostly towards the end of the series), for 501 example TNx or CDD, largely vanish when the HadEX2 fields are masked to grid boxes 502 where HadEX has non-missing data (dashed lines in Figs. 4 and 8). This shows that 503 differences between area-averaged time series from both data sets can mainly be explained by 504 the different spatial coverage. Some larger differences during the last years of comparison 505 after 2000, as seen e.g. for TNn, TXn (Figs. 3a,b), R10mm or SDII (Figs 8a,d) can be 506 explained by a drop in grid box coverage in HadEX after 2000. The differences would largely 507 vanish if we applied an even stricter data completeness criterion, requiring e.g. 100% of data 508 for grid cells to contribute to the global time series. The similarity in trends from both 509 datasets, given the largely different input data, gives additional confidence in the robustness 510 of the results.

511

512 There are two exceptions, however, in that there are some differences in the warm spell 513 (WSDI) and cold spell (CSDI) duration indices. For these two indices there are some larger 514 discrepancies between the new HadEX2 data set and HadEX and this is related to 515 inconsistencies in the calculation of these indices in HadEX. Sillmann et al., [2012] discuss 516 how this is likely caused by the use of an earlier version of the RClimDex/FClimDex code to 517 calculate indices for the USA which did not account for insufficient data precision (in part 518 due to rounding to whole degrees Fahrenheit) in the data, leading to a bias in the temperature 519 percentile exceedance rates estimated (this is discussed in Zhang et al., 2009). Hence, caution 520 should be applied to analysis of CSDI and WSDI in HadEX especially over the North

521 American region, although other regions are fairly comparable. Owing to partly different 522 spell duration calculation between the two datasets, even masking HadEX2 to grid boxes 523 where HadEX had valid data (dashed blue line in Fig. 5) does not minimize the differences. 524 Indeed, the masked data are largely similar to the unmasked HadEX2 global WSDI and CSDI 525 averages. In the new HadEX2 dataset the indices were calculated using the same software for 526 all input stations, and the gridded fields do not suffer from such inconsistencies. However, by definition these indices are statistically "volatile" in that they have a tendency to contain 527 528 many zeros and have no warm spells defined for periods shorter than 6 days, thus other heat 529 wave metrics that are more statistically robust are being proposed to replace them [Perkins et 530 al., 2012]. Consequently even in HadEX2 some caution is required in assessing results for the 531 cold and warm spell duration indices.

532

533 While HadEX2 is a gridded dataset and therefore is likely to be used in future model 534 evaluation studies, we add a cautionary note that care must be taken to distinguish between 535 gridded products when evaluating extremes. In the method employed here, our output is more 536 closely representative of regularly spaced point locations. Climate model output and re-537 analysis products more typically represent the area average of a grid. While in the case of 538 most temperature indices the two measures might be almost indistinguishable, for other 539 indices such as annual maxima or minima or those derived from daily precipitation, these 540 gridded metrics might represent quite different values [e.g. Chen and Knutson, 2008]. There 541 is some debate as to whether it would be more appropriate to grid the daily data first and then 542 calculate the indices as this might better reflect the measures that are returned by climate 543 models or reanalyses. However, calculating indices in this way would likely have the effect of 544 over-smoothing the extremes [Hofstra et al., 2010]. In addition it adds a level of structural 545 uncertainty into the resulting data, the effects of which have yet to be tested in detail [e.g.

546 *Donat et al.*, 2012a]. However, interpolation of daily data was shown to reduce the intensity 547 of extremes [*Haylock et al.*, 2008] and is argued to make them more comparable with climate 548 model data. We therefore recommend that these caveats are taken into account when using 549 HadEX2 for model evaluation.

550

551 6. Conclusions

552

553 We present a new global land-based gridded dataset of climate extremes indices. This dataset, 554 HadEX2, is the outcome of major data collection efforts and it substantially enhances a 555 previous dataset (HadEX, A2006) by providing improved spatial coverage, updates for the 556 most recent years up to 2010, and an extension back in time to the beginning of the 20th 557 century. The new dataset also solves some issues with regionally inconsistent calculations of indices in HadEX. The analysis of recent changes in climate extremes largely confirms the 558 559 conclusions based on the previous dataset, hence generating increased confidence in the 560 robustness of the presented trends. The main findings include widespread and significant 561 warming trends related to temperature extremes indices, mostly stronger for indices based on 562 daily minimum temperatures than for indices calculated from daily maximum temperatures. 563 The changes in precipitation extremes are in general spatially more complex and mostly 564 locally less significant. However, on a global scale we find a tendency towards wetter 565 conditions for most precipitation indices i.e. the intensity and duration of extreme 566 precipitation is increasing on average.

567

568 It should be noted that there are still large data gaps over regions such as Africa and northern 569 South America, although international efforts are ongoing to try and fill in these gaps [e.g. 570 *Skansi et al.*, submitted to Global and Planetary Change] and to provide a data monitoring 571 capability for the ETCCDI indices [*Donat et al.*, 2012a]. At present though, the spatial 572 distribution of stations is still insufficient to provide a truly global picture of changes in 573 extremes, particularly for those extremes related to precipitation. It is hoped that efforts will 574 continue to address the need for continuous data collection and that ideally all data would be 575 shared with the international science community through a central data base (such as the 576 GHCN-Daily dataset). Note that the data presented in this paper, both station-based and 577 gridded indices, are available from www.climdex.org.

578

579 Acknowledgements

580

581 Donat, Alexander and Yang are supported by Australian Research Council grants CE110001028 and LP100200690. Dunn, Willett and Caesar were supported by the Joint 582 583 DECC/Defra Met Office Hadley Centre Climate Programme (GA01101). Marengo, Renom 584 and Rusticucci are supported by European Community's Seventh Framework Programme (FP7/2007- 2013) Grant Agreement Nº 212492: CLARIS LPB-A Europe- South America 585 586 Network for Climate Change Assessment and Impact Studies in La Plata Basin, Marengo also 587 from the FAPESP Assessment of Impacts and Vulnerability to Climate Change in Brazil and 588 strategies for Adaptation options project (Ref. 2008/581611) and Rusticucci also from 589 CONICET PIP-0227. We acknowledge the Sudan Meteorological Authority (SMA) and 590 National Meteorological and Hydrological Services from countries around the world for 591 providing observational data. We also thank the three anonymous reviewers who helped to 592 improve this manuscript.

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813 Figure Captions

814

Fig. 1: Maps indicate locations of stations used in HadEX2 for an example temperature index (a) TXx and precipitation index (c) Rx1day. Sources of data (see text) are color-coded. The right panel (b) and (d) shows the decorrelation length scales (in km) for each latitude band for TXx and Rx1day respectively for Annual (solid line), January (dotted line) and July (dashed line). Thin grey lines indicate the borders of latitude bands used for grouping the stations when calculating the decorrelation length scales (see text for details).

821

Fig. 2: Time series of annual grid box coverage (out of a total of 2382 land grids for the chosen longitude-latitude grid) for (a) TXx and (b) Rx1day from 1901 to 2010 for HadEX2 and 1951 to 2003 for HadEX (A2006) after the gridding algorithm has completed (see text for details). Top panel shows the total number of grid boxes with non-missing data globally, bottom panel shows the percentage of land grid boxes with non-missing data at each latitude.

827

828 Fig. 3: Trends (in annual days per decade, shown as maps) for annual series of percentile 829 temperature indices for (left) 1901-2010 and (middle) 1951-2010 for cool nights (TN10p), 830 warm nights (TN90p), cool days (TX10p), and warm days (TX90p). Trends were calculated 831 only for grid boxes with sufficient data (at least 66 % of years having data during the period 832 and the last year of the series is no earlier than 2003). Hatching indicates regions where 833 trends are significant at the 5% level. The time series show the global average annual 834 anomalies (in days per year) for the same indices relative to 1961–1990 mean values for 835 HadEX2 (blue lines) over the 1901-2010 period, and a comparison with HadEX (red line; 836 A2006) over the 1951-2003 period (for which HadEX provided data) is also shown. The 837 thick blue line shows the 21-point Gaussian filtered data for HadEX2. Note that for the global

average time series only grid boxes with at least 90% of temporal coverage are used, i.e. 99
years during 1901-2010 and 48 years during 1951-2003 (see text).

840

Fig. 4: As Figure 3 but for annual series of indices (a) coldest night (TNn) in °C, (b) coldest day (TXn) in °C, (c) warmest night (TNx) in °C, and (d) hottest day (TXx) in °C. The time series show annual anomalies (in °C) as described in Figure 3. In the comparison with HadEX (1951-2003), the HadEX2 time series masked to HadEX grid boxes is also shown (dashed blue line).

846

Fig. 5: Trends (in annual days per decade) for the period 1951–2010 for cold spell duration

848 index (CSDI) and warm spell duration index (WSDI) in HadEX2. Missing data and

849 significance criteria as in Figure 3. Timeseries plots compare HadEX and HadEX2 global

averages and highlight issues with the calculation of these indices in HadEX (see text).

851

Fig. 6: Trends (in days per decade) for seasonal series of warm days (TX90p) for the period
1951–2010 for (a) December-February, (b) June-August, (c) March-May, and (d) September-

November. Trends were calculated using same criteria as in Fig. 3.

855

Fig. 7: As Figure 6 but for cool nights (TN10p).

857

Fig. 8: As Figure 3 but for decadal trends in annual series of indices (a) Number of heavy precipitation days (R10) in days, (b) contribution from very wet days (R95pTOT) in %, (c) consecutive dry days (CDD) in days and (d) simple daily intensity index (SDII) in mm/day. The time series show annual anomalies as described in Figure 3. In the comparison with HadEX (1951-2003), the HadEX2 time series masked to HadEX grid boxes is also shown

863	(dashed blue line).
864	
865	Fig. 9: As Figure 6 but for seasonal trends (in mm/decade) in maximum 5-day precipitation
866	(Rx5day).
867	
868	
869	

870 Tables

871 **Table 1:** The extreme temperature and precipitation indices available in HadEX2 along with 872 the number of stations that was included for each index. Most indices are recommended by 873 the ETCCDI (see http://cccma.seos.uvic.ca/ETCCDI/list_27_indices.html) except those 874 marked with an asterisk. Indices in bold represent those that are also available monthly.

ID	Indicator name	Indicator definitions	<u>Units</u>	Number of stations
TXx Hottest day		Monthly maximum value of daily max temperature	°C	7381
TNx	Warmest night	Monthly maximum value of daily min temperature		7390
TXn	Coldest day	Monthly minimum value of daily max temperature	°C	7381
TNn	Coldest night	Monthly minimum value of daily min temperature	°C	7390
TN10p	Cool nights	Percentage of time when daily min temperature < 10 th percentile	%	6619
TX10p	Cool days	Percentage of time when daily max temperature < 10 th percentile	%	6619
TN90p	Warm nights	Percentage of time when daily min temperature > 90 th percentile	%	6617
ТХ90р	Warm days	Percentage of time when daily max temperature $> 90^{th}$ percentile	%	6598
DTR	Diurnal temperature range	Monthly mean difference between daily max and min temperature	°C	7365
GSL	Annual (1st Jan to 31st Dec in NH, 1st July to 30th June		Days	6843
ID	Ice days	Annual count when daily maximum temperature $< 0^{\circ}C$	Days	7120
FD	Frost days	Annual count when daily minimum temperature < 0°C	Days	7150
SU	Summer days	Annual count when daily max temperature $> 25^{\circ}C$	Days	7168
TR	Tropical nights	Annual count when daily min temperature $> 20^{\circ}$ C	Days	7179
WSDI	Warm spell duration indicator	Annual count when at least 6 consecutive days of max temperature $> 90^{th}$ percentile	Days	6600
CSDI	Cold spell duration indicator	Annual count when at least 6 consecutive days of min temperature $< 10^{th}$ percentile	Days	6594
RX1day	Max 1-day precipitation amount	Monthly maximum 1-day precipitation	Mm	11588
RX5day	Max 5-day precipitation amount	Monthly maximum consecutive 5-day precipitation	Mm	11607
SDII	Simple daily intensity index	The ratio of annual total precipitation to the number of wet days ($\geq 1 \text{ mm}$)	mm/day	11607
R10mm	Number of heavy precipitation days	Annual count when precipitation $\geq 10 \text{ mm}$		11607
R20mm	Number of very heavy precipitation days	Annual count when precipitation $\ge 20 \text{ mm}$		11588
CDD	Consecutive dry days	Maximum number of consecutive days when precipitation < 1 mm		11602
CWD	Consecutive wet days	Maximum number of consecutive days when precipitation $\geq 1 \text{ mm}$		11583
R95p	Very wet days	Annual total precipitation from days > 95 th percentile	Mm	11580
R99p	Extremely wet days	Annual total precipitation from days > 99 th percentile	Mm	11580

PRCPTOT	Annual total wet-day precipitation	Annual total precipitation from days $\geq 1 \text{ mm}$	mm	11588
*ETR	Extreme temperature range	TXx – TNn	°C	7159
*R95pTOT	Contribution from very wet days	100 * R95p / PRCPTOT	%	11300
*R99pTOT	Contribution from extremely wet days	100 * R99p / PRCPTOT	%	11300
Table 2: References and contacts for data used to create HadEX2. In most cases the indices

Source region/Dataset	Contact	Reference(s) if available	
Arab region	Paper author: m.donat@unsw.edu.au	Donat et al. [2012b]	
workshop	. I		
Argentina	Paper author: mati@at.fcen.uba.ar	Rusticucci, [2012]	
Australia	Paper author: b.trewin@bom.gov.au	Trewin, [2012]	
Brazil	http://www.inmet.gov.br, jose.marengo@inpe.br		
Canada	Paper authors: Lucie.Vincent@ec.gc.ca (for	Mekis and Vincent, [2011];	
	temperature); Eva.Mekis@ec.gc.ca (for	Vincent et al., [2012]	
	precipitation)		
Chile	Paper author: cvilla@meteochile.com Villarroel et al., [2006]		
China	Chinese Meteorological Administration (CMA)		
Congo workshop	Paper authors: enric.aguilar@urv.cat;	Aguilar et al., [2009]	
U 1	Xuebin.zhang@ec.gc.ca; manola.brunet@urv.cat		
ECAD	The European Climate Assessment and Dataset:	Klok and Klein Tank, [2009]	
	http://eca.knmi.nl/		
HadEX	Climdex project: http://www.climdex.org	Alexander et al., [2006]	
India	Paper author: aks_ncc2004@yahoo.co.in		
Latin America	Latin American Climate Assessment and Dataset: http://lacad.ciifen-		
	int.org/download/millennium/millennium.php		
New Zealand	Paper author: salinger@stanford.edu	Griffiths et al., [2003],	
		Salinger and Griffiths, [2001]	
Peru	Paper author: <u>clara@senamhi.gob.pe</u>	<i>Oria</i> , [2012]	
South Africa	Paper authors: hewitson@csag.uct.ac.za;	Kruger and Sekele, [2012]	
	Andries.Kruger@weathersa.co.za;		
	cjack@csag.uct.ac.za		
South-east Asia	Southeast Asian Climate Assessment and Dataset:		
	http://saca-bmkg.knmi.nl/		
Uruguay	Paper author: renom@fisica.edu.uy	Rusticucci and Renom, [2008]	
USA	Global Historical Climatology Network – Daily:	Durre et al., [2010]; Menne et	
	http://www.ncdc.noaa.gov/oa/climate/ghcn-daily/	<i>al.</i> , [2012]; <i>Peterson et al.</i> , [2008]	
Vietnam	Paper author: john.caesar@metoffice.gov.uk	<i>Caesar et al.</i> , [2011]	
workshop			
West Indian	Paper author: Lucie.Vincent@ec.gc.ca	Vincent et al., [2011]	
Ocean workshop			
378			

877 were calculated by the contact author and sent to the lead author for inclusion in HadEX2.

880 Table 3: Land-based grid boxes filled by data meeting the data completeness criteria (see 881 text) for each index along with the percentage of those gridboxes that show either a 882 significant increase or decrease at the 5% level during the 1951-2010 period.

Index	Number of land- based grid boxes	% significant increase	% significant decrease
TXx	1110	32.16	5.95
TNx	1056	63.73	3.22
TXn	1333	52.21	4.05
TNn	1336	70.36	3.14
TN10p	1398	0.36	96.92
TX10p	1400	0.36	84
TN90p	1316	97.49	0
TX90p	1437	76.55	1.25
DTR	1079	8.9	59.31
GSL	948	54.01	2.22
ID	1186	1.85	49.75
FD	1278	3.05	67.37
SU	1271	46.66	6.61
TR	1032	48.74	4.36
WSDI	1182	69.63	0.59
CSDI	1005	3.18	68.96
RX1day	420	21.9	7.14
RX5day	438	23.97	8.22
SDII	880	46.48	8.64
R10	853	28.96	10.32
R20	568	28.87	9.15
CDD	832	5.77	21.15
CWD	435	18.39	11.03
R95p	561	30.66	5.88
R99p	420	25	4.05
PRCPTOT	1022	40.8	10.18
ETR	1207	5.3	50.7
R95pTOT	561	22.99	5.17
R99pTOT	420	19.29	4.05

884 Figures



Fig. 21: Maps indicate locations of stations used in HadEX2 for an example temperature
index (a) TXx and precipitation index (c) Rx1day. Sources of data (see text) are color-coded.
The right panel (b) and (d) shows the decorrelation length scales (in km) for each latitude
band for TXx and Rx1day respectively for Annual (solid line), January (dotted line) and July
(dashed line). Thin grey lines indicate the borders of latitude bands used for grouping the
stations when calculating the decorrelation length scales (see text for details).



893

Fig. 2: Time series of annual grid box coverage (out of a total of 2382 land grids for the
chosen longitude-latitude grid) for (a) TXx and (b) Rx1day from 1901 to 2010 for HadEX2
and 1951 to 2003 for HadEX (A2006) after the gridding algorithm has completed (see text
for details). Top panel shows the total number of grid boxes with non-missing data globally,
bottom panel shows the percentage of land grid boxes with non-missing data at each latitude.



Fig. 3: Trends (in annual days per decade, shown as maps) for annual series of percentile
temperature indices for (left) 1901-2010 and (middle) 1951-2010 for cool nights (TN10p),
warm nights (TN90p), cool days (TX10p), and warm days (TX90p). Trends were calculated
only for grid boxes with sufficient data (at least 66 % of years having data during the period

- and the last year of the series is no earlier than 2003). Hatching indicates regions where
- trends are significant at the 5% level. The time series show the global average annual
- anomalies (in days per year) for the same indices relative to 1961–1990 mean values for
- HadEX2 (blue lines) over the 1901-2010 period, and a comparison with HadEX (red line;
- A2006) over the 1951-2003 period (for which HadEX provided data) is also shown. The
- 910 thick blue line shows the 21-point Gaussian filtered data for HadEX2. Note that for the global
- average time series only grid boxes with at least 90% of temporal coverage are used, i.e. 99
- 912 years during 1901-2010 and 48 years during 1951-2003 (see text).





915 Fig. 3 (continued)



Fig. 4: As Figure 3 but for annual series of indices (a) coldest night (TNn) in °C, (b) coldest
day (TXn) in °C, (c) warmest night (TNx) in °C, and (d) hottest day (TXx) in °C. The time
series show annual anomalies (in °C) as described in Figure 3. In the comparison with
HadEX (1951-2003), the HadEX2 time series masked to HadEX grid boxes is also shown



925 Fig. 4 (continued)



Fig. 5: Trends (in annual days per decade) for the period 1951–2010 for cold spell duration
index (CSDI) and warm spell duration index (WSDI) in HadEX2. Missing data and

930 significance criteria as in Figure 3. Timeseries plots compare HadEX and HadEX2 global

averages and highlight issues with the calculation of these indices in HadEX (see text).



934 **Fig. 6:** Trends (in days per decade) for seasonal series of warm days (TX90p) for the period

935 1951–2010 for (a) December-February, (b) June-August, (c) March-May, and (d) September-

- 936 November. Trends were calculated using same criteria as in Fig. 3.
- 937



Fig. 7: As Figure 6 but for cool nights (TN10p).



Fig. 8: As Figure 3 but for decadal trends in annual series of indices (a) Number of heavy
precipitation days (R10) in days, (b) contribution from very wet days (R95pTOT) in %, (c)
consecutive dry days (CDD) in days and (d) simple daily intensity index (SDII) in mm/day.
The time series show annual anomalies as described in Figure 3. In the comparison with

- HadEX (1951-2003), the HadEX2 time series masked to HadEX grid boxes is also shown
- 947 (dashed blue line).
- 948



950 Fig. 8 (continued)





Fig. 9: As Figure 6 but for seasonal trends (in mm/decade) in maximum 5-day precipitation

954 (Rx5day).